

Shipping Guarantee Issuance User Guide

# **Oracle Banking Trade Finance Process Management**

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Oracle Banking Trade Finance Process Management - Shipping Guarantee Issuance User Guide  
Oracle Financial Services Software Limited

Oracle Park  
Off Western Express Highway  
Goregaon (East)  
Mumbai, Maharashtra 400 063  
India  
Worldwide Inquiries:  
Phone: +91 22 6718 3000  
Fax: +91 22 6718 3001  
[www.oracle.com/financialservices/](http://www.oracle.com/financialservices/)

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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process trade finance transaction.

## Overview

OBTFPM is a Trade Finance Middle Office Platform, which enables Bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during Transaction handling /processing.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

# Shipping Guarantee Issuance

As a part of Shipping Guarantee Issuance, the applicant approaches a Bank and requests the bank to issue a Shipping Guarantee on their behalf to the Beneficiary (Shipping Company).

In the subsequent sections, let's look at the details for Shipping Guarantee Issuance process:

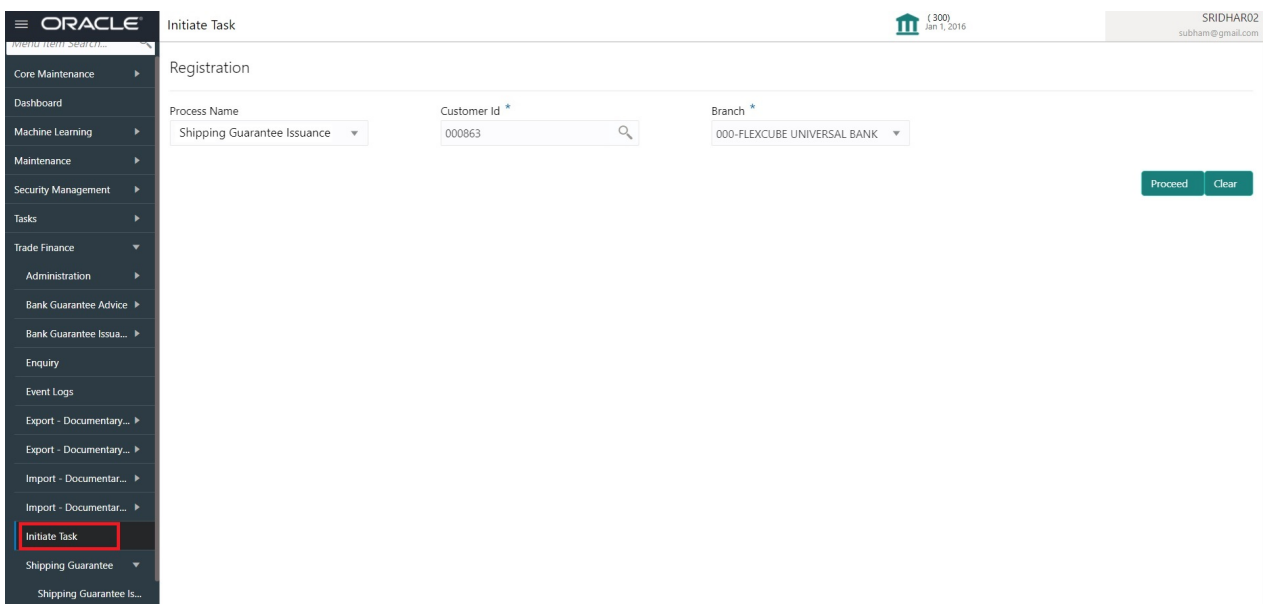
This section contains the following topics:

|  |                              |
|--|------------------------------|
| <a href="#">Common Initiation Stage</a>              | <a href="#">Registration</a> |
| <a href="#">Data Enrichment</a>                      | <a href="#">Exceptions</a>   |
| <a href="#">Exception - Know Your Customer (KYC)</a> |                              |

## Common Initiation Stage

The user can initiate the new Shipping Guarantee request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

| Field        | Description                                   |
|--------------|---|
| Process Name | Select the process name to initiate the task. |
| Customer ID  | Select the customer id of the applicant.      |
| Branch       | Select the branch.                            |

## Action Buttons

Use action buttons based on the description in the following table:

| Field   | Description  |
|---------|--|
| Proceed | Task will get initiated to next logical stage.                     |
| Clear   | The user can clear the contents update and can input values again. |

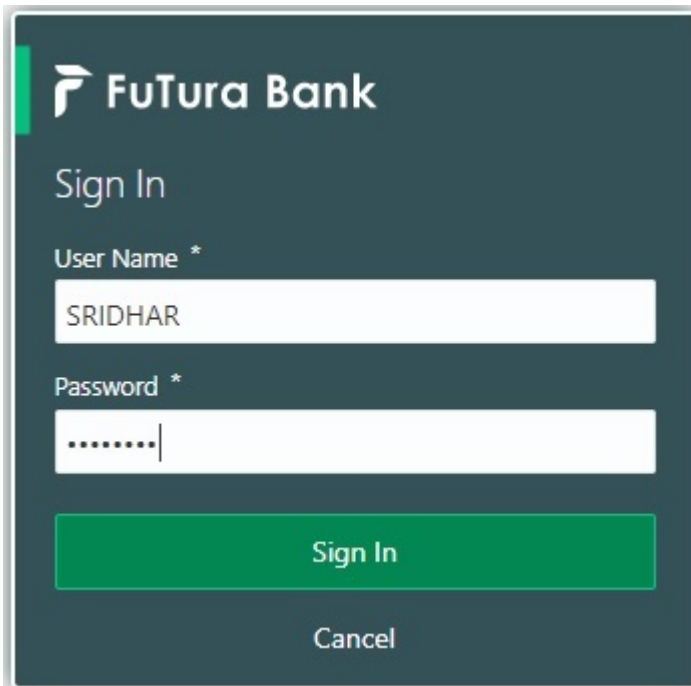
## Registration

The first stage of Shipping Guarantee Issuance process starts from the Registration Stage. The user can initiate Shipping Guarantee issuance from the Registration process.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E ( of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



**FuTura Bank**

Sign In

User Name \*

SRIDHAR

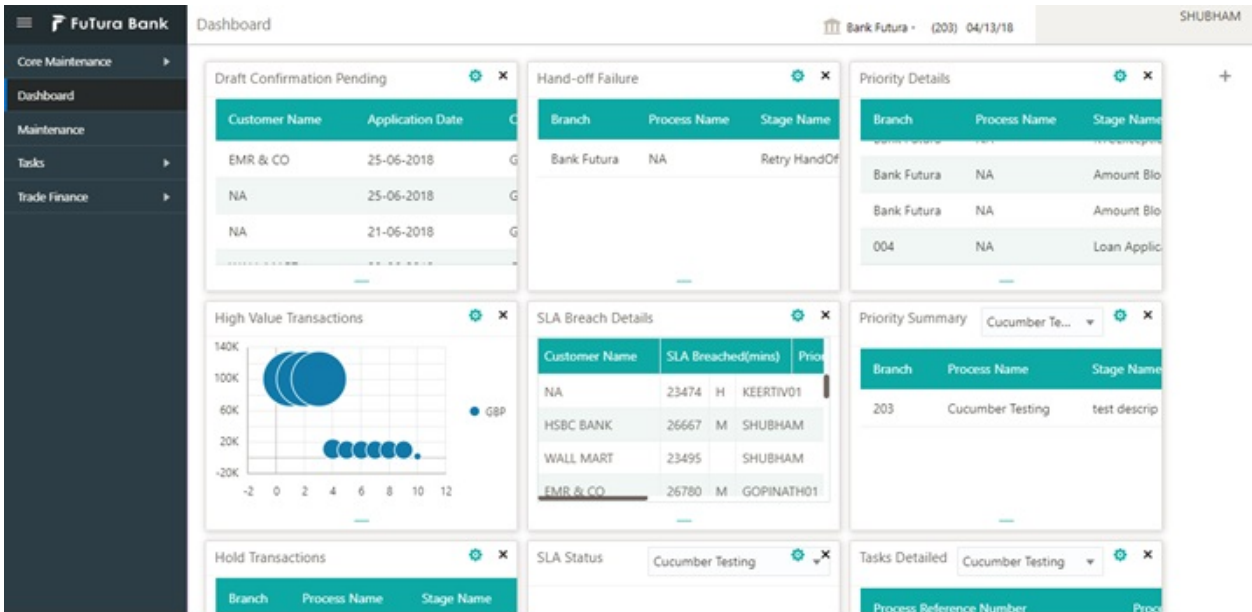
Password \*

.....|

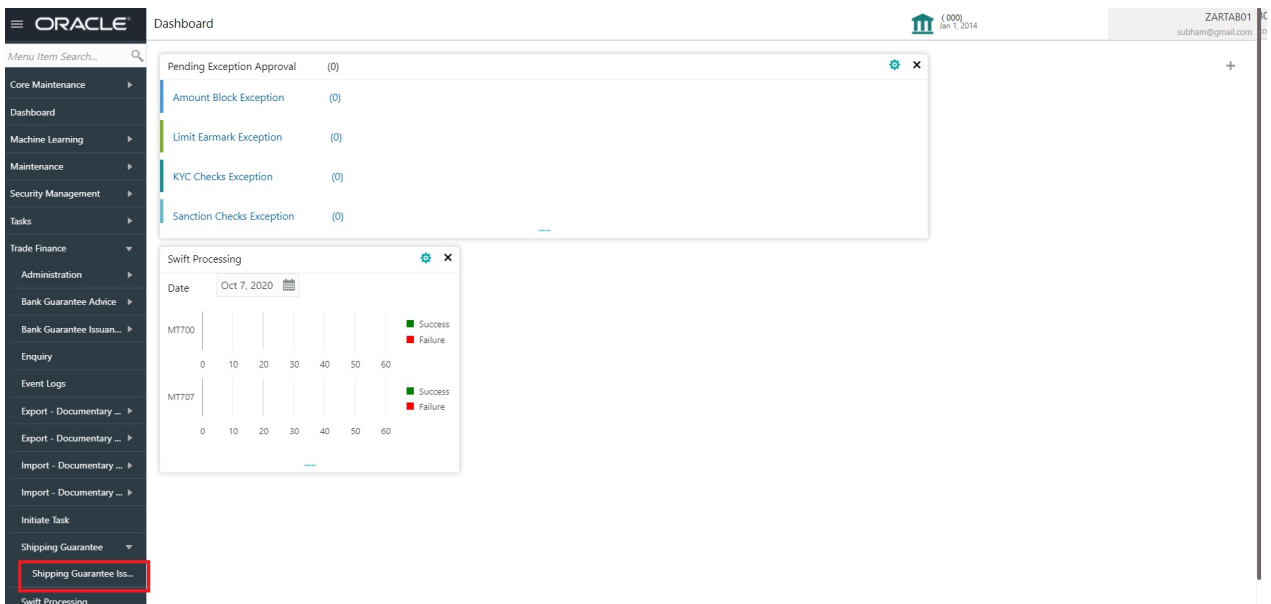
Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Shipping Guarantee > Shipping Guarantee Issuance.



The Registration stage has two sections Application Details and Shipping Guarantee Details. Let's look at the Registration screens below:

## Application Details

Provide the Application Details based on the description in the following table:

| Field                     | Description  | Sample Values               |
|---------------------------|--|-----------------------------|
| Issued Against            | Select whether this Shipping Guarantee is issued against Documentary Credit or against a Documentary Collection from the drop-down.<br><br>Drop down values are: <ul style="list-style-type: none"> <li>Documentary Credit</li> <li>Documentary Collection</li> </ul>  |                             |
| Documentary Credit Number | Select Documentary Credit Number from LOV or input the LC number.<br><br>This field is enabled only if value in Issued Against field is Documentary Credit.  |                             |
| Customer                  | Read only field - If the value in <b>Issued Against</b> field is <b>Documentary Credit</b> , the customer ID for the selected Documentary credit is populated in this field and user should not be able to change the value.<br><br>If the value in <b>Issued Against</b> is <b>Documentary Collection</b> , the user can input the Customer ID or select the Customer ID from the LOV |                             |
| Branch                    | System defaults branch details.  | 203-Bank Futura -Branch FZ1 |
| Process Reference Number  | Unique sequence number for the transaction.<br>This is auto generated by the system based on process name and branch code.   | 203GTEISS00001134           |



| Field                     | Description   | Sample Values |
|---------------------------|---|---------------|
| Customer Reference Number | Specify the customer reference number.  |               |
| Priority                  | Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.<br><br>User can change the priority populated any time before submit of Registration stage. | Medium        |
| Submission Mode           | System defaults the mode from Registration stage.<br><br>User can change the submission mode populated.   | Desk          |
| Booking Date              | Read only field<br><br>System defaults the branch date. User cannot change the value. If the task gets approved on a later date, system will populate that date as the booking date.  | 04/13/2018    |

## Shipping Guarantee Details

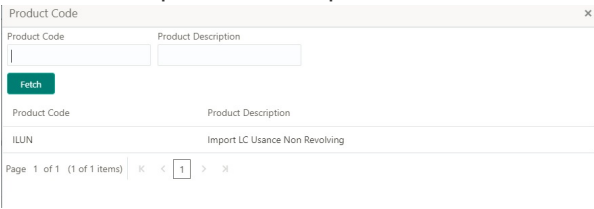
Registration user can provide Shipping Guarantee details in this section.

**Shipping Guarantee Details**

|  |  |  |  |
|--|--|--|--|
| <p>Product Code<br/>SGTY</p> <p>Amount In Local Currency<br/>AED 100.00</p> <p>SG/DO Expiry Date *<br/>Aug 3, 2023</p> | <p>Product Description<br/>Shipping Guarantee</p> <p>Contract Reference Number<br/>032SGTY232159501</p> <p>Mode of Transport *<br/>Air</p> | <p>Outstanding LC Amount<br/>AED 0.00</p> <p>User Reference Number<br/>032SGTY232159501</p> <p>Cargo Arrival Details</p> | <p>SG/DO Amount *<br/>AED 100.00</p> <p>SG/DO Beneficiary *<br/>091216 Waha Capital</p> <p>Limit verification required<br/><input checked="" type="checkbox"/></p> |
|--|--|--|--|

Hold Cancel Save & Close Submit

Provide the details based on the description in the following table:

| Field                     | Description  | Sample Values        |
|---------------------------|--|----------------------|
| Product Code              | <p>Select the applicable Shipping Guarantee product code.</p> <p>Click the look up icon to search the product code with code or product description.</p>  <p>You can also enter the product code and on tab out system will validate and populate the selected product description. The product codes will be listed based on the selected value in Form of Undertaking.</p> | GUIS                 |
| Product Description       | Auto populated by the application based on the selected Product Code.  | Shipping Guarantee   |
| Outstanding LC Amount     | <p>Read only field.</p> <p>System defaults the outstanding amount, if any.</p>   |                      |
| SG/DO Amount              | <p>User can enter the amount for which the shipping guarantee has to be issued.</p> <p>The currency is defaulted by the system, user can change the currency.</p>  |                      |
| Amount In Local Currency  | <p>Read only field.</p> <p>System fetches the local currency equivalent value for the LC amount from back office (with decimal places).</p>  |                      |
| Contract Reference Number | <p>Read only field.</p> <p>Auto-generated by back end application.</p> <p>Shipping guarantee back office reference gets simulated and displayed.</p>   | 203GUIS18103<br>ALP5 |
| User Reference Number     | <p>User Reference Number will be auto populated by the system based on selection of <b>Product Code</b>.</p> <p>The user can change the User Reference Number.</p>   |                      |
| SG/DO Beneficiary         | User can search and select the Shipping Guarantee beneficiary details from LOV.  |                      |
| SG/DO Expiry Date         | Provide the expiry date of the Shipping Guarantee Issuance.  | 09/30/18             |

| Field                       | Description   | Sample Values |
|-----------------------------|---|---------------|
| Mode of Transport           | User can select the mode of shipment from the drop-down.<br>The values are: <ul style="list-style-type: none"> <li>Sea</li> <li>Air</li> <li>Road</li> <li>Rail</li> <li>Multimodal</li> <li>Other</li> </ul> |               |
| Cargo Arrival Details       | User can capture the cargo arrival details.   |               |
| Limit verification required | Enable this option for limit verification.  |               |

## Miscellaneous

The screenshot displays the Oracle Banking Trade Finance application interface for Shipping Guarantee Issuance. The interface is divided into several sections:

- Application Details - Main:** Includes fields for Issued Against (Documentary Credit), Documental Credit Number (032ILRR232152002), Customer (032204 Air Arabia), Branch (032-Oracle Banking Trade Finan...), Process Reference Number (032SGT1000164432), Customer Reference Number, Priority (Medium), Submission Mode (Desk), and Booking Date (Aug 3, 2023).
- Shipping Guarantee Details:** Includes fields for Product Code (SGTY), Product Description (Shipping Guarantee), Outstanding LC Amount (AED 0.00), SG/DO Amount (AED 100.00), Amount In Local Currency (AED 100.00), Contract Reference Number (032SGTY232159501), User Reference Number (032SGTY232159501), SG/DO Beneficiary (091216 Waha Capital), SG/DO Expiry Date (Aug 3, 2023), Mode of Transport (Air), and Cargo Arrival Details. A toggle for Limit verification required is also present.

Navigation buttons at the bottom include Hold, Cancel, Save & Close, and Submit.

Provide the Miscellaneous Details based on the description in the following table:

| Field      | Description  | Sample Values |
|------------|--|---------------|
| Signatures | Click the Signature button to verify the signature of the customer/ bank if required.<br>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.<br>If more than one signature is required, system should display all the signatures. |               |
| Documents  | Upload the required documents.<br>Application will display the mandatory and optional documents.   |               |

| Field                 | Description   | Sample Values |
|-----------------------|---|---------------|
| Remarks               | Provide any additional information regarding the Shipping Guarantee. This information can be viewed by other users processing the request.  |               |
| Customer Instruction  | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Common Group Messages | Click Common Group Message button, to send MT799 and MT999 messages from within the task.   |               |
| View LC               | <p>User can view the latest LC details.</p> <p>This button appears, if <b>Documentary Credit</b> is selected from the <b>Issued Against</b> field.</p>  |               |
| Events                | <p>User can view all the previous events under the LC.</p> <p>This button appears, if <b>Documentary Credit</b> is selected from the <b>Issued Against</b> field.</p>   |               |
| <b>Action Buttons</b> |   |               |
| Hold                  | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>  |               |
| Cancel                | Cancels the Shipping Guarantee Issuance Registration stage input.   |               |
| Save & Close          | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>   |               |
| Submit                | <p>On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Shipping Guarantee Issuance.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>   |               |

| Field     | Description   | Sample Values |
|-----------|---|---------------|
| Checklist | Make sure that the details in the checklist are completed. If mandatory checklist items are not marked, system will display an error on submit. |               |

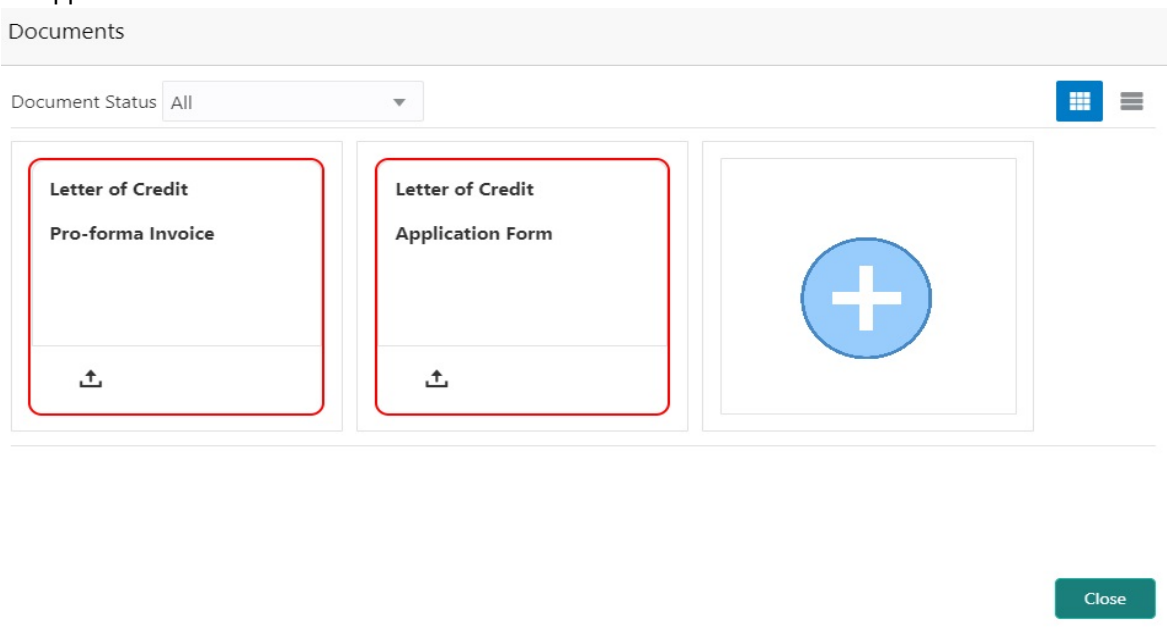
## Document Linkage

The user can link an existing uploaded document in any of the process stages.

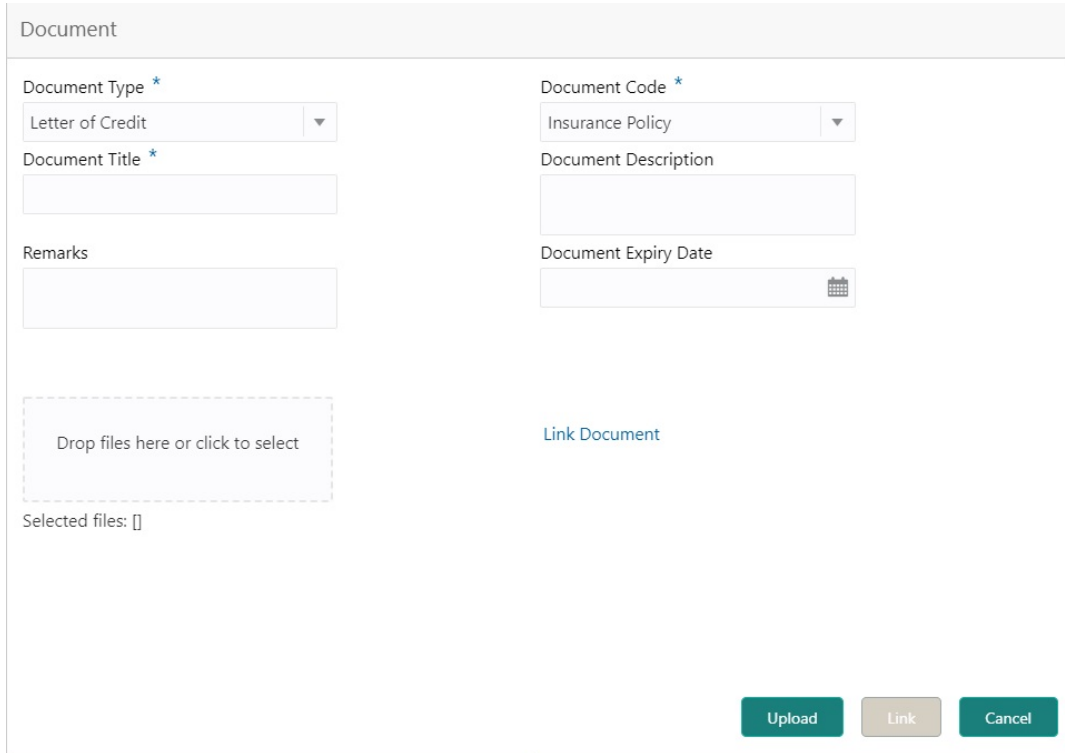
In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.



| Field                | Description   | Sample Values |
|----------------------|---|---------------|
| Document Type        | Select the Document type from list.<br>Indicates the document type from metadata. |               |
| Document Code        | Select the Document Code from list.<br>Indicates the document Code from metadata. |               |
| Document Title       | Specify the document title.   |               |
| Document Description | Specify the document description.   |               |
| Remarks              | Specify the remarks.  |               |
| Document Expiry Date | Select the document expiry date.  |               |
| Link Document        | The link to link the existing uploaded documents from DMS to the workflow task.   |               |

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

| Field                | Description   | Sample Values |
|----------------------|---|---------------|
| Customer ID          | This field displays the transaction Customer ID.                                |               |
| Document ID          | Specify the document Id.  |               |
| Document Type        | Select the document type from list.   |               |
| Document Code        | Select the document code from list.   |               |
| <b>Search Result</b> |   |               |
| Link Document        | The link to link the existing uploaded documents from DMS to the workflow task. |               |
| Document ID          | This field displays the document Code from meta data.                           |               |
| Customer ID          | This field displays the transaction Customer ID.                                |               |
| Document Type        | This field displays the document type from meta data.                           |               |
| Document Code        | This field displays the document code from meta data.                           |               |
| Upload Date          | The field displays the upload date of the document.                             |               |
| Reference Number     | The field displays the reference number of the document.                        |               |

6. Click **Link** to link the particular document required for the current transaction.

**Link Document**

Customer Id \*  Document Id

Document Type \*  Document Code \*

| Link Document        | Document Id | Customer Id | Document Type | Document Code | Upload Date  | Reference Number |
|----------------------|-------------|-------------|---------------|---------------|--------------|------------------|
| <a href="#">Link</a> | 1559        | 032204      | HGJH          | INSURANCE     | Mar 9, 2023  | 032IDCB000017631 |
| <a href="#">Link</a> | 2649        | 032204      | testing       | INSURANCE     | Mar 29, 2023 | 032ILCC000021179 |
| <a href="#">Link</a> | 4143        | 032204      |               | INSURANCE     | May 8, 2023  | 032ILCU000032029 |
| <a href="#">Link</a> | 4145        | 032204      |               | INSURANCE     | May 8, 2023  | 032ILCU000032042 |
| <a href="#">Link</a> | 4305        | 032204      |               | INSURANCE     | May 10, 2023 | 032IDCB000033105 |

Page  of 2 (1-5 of 7 items)

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

**Edit Document**

Document Id  Document Title

Application Reference Number  Entity Reference Number

Document Type Id  Document Description

Remarks  Document Expiry Date

Drop files here or click to select

Current selected files: []

## OBTFPM- OBDX Bidirectional flow

As a part of Digital Experience, customers can initiate Trade Finance Transactions from online channels and the respective task will be available in OBTFPM for further handling.

OBTFPM user, for task received from online channel, raise clarification and receive response from the customer.



1. Customer initiates the Trade Finance transaction in Online channel (OBDX) and upload the necessary documents.

The screenshot displays the Oracle Trade Finance interface for a Shipping Guarantee Issuance. The main window is titled 'Documents' and shows a 'Document Status All' dropdown. There are two document upload slots, each labeled 'Shipping Guarantee' with sub-labels 'Letter of Indemnity' and 'Shipping Guarantee Application'. A third slot contains a blue plus sign icon. The interface includes a left sidebar with navigation options like 'Main', 'Shipment Details', and 'Additional Fields'. The top navigation bar shows 'Clarification Details', 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', and 'Signatures'. The right sidebar contains fields for 'Branch' (032-Oracle Banking Trade Finan...), 'Submission Mode' (Desk), 'SG/DO Amount' (GBP £100.00), and 'SG/DO Beneficiary' (150705 Onyx Pvt Ltd). A 'Limit verification required' toggle is also visible. At the bottom, there is an 'Audit' button and a row of action buttons: 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

2. The task created will land in the Data Enrichment stage of OBTFPM for handling by Trade expert for reviewing and identifying mismatch/incomplete data.

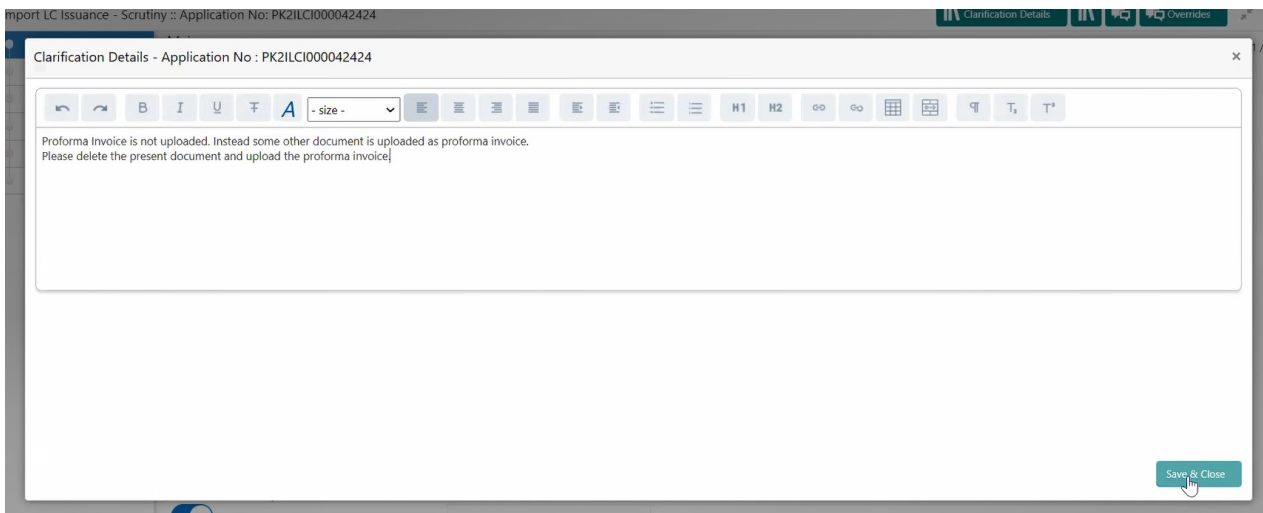
3. In the Data Enrichment or Approval the bank user may require clarification from customer, OBTFPM user clicks **Request Clarification** button to request for online clarification from customer.

The screenshot shows the 'Request Clarification' screen in the Oracle Trade Finance interface. The main window is titled 'Clarification Details' and contains various fields for data entry. The 'Application Details - Main' section includes 'Documentary Credit' (PK2GUR19081AP2W), 'Customer' (PK25GT1000042573), and 'Branch' (PK2-FLEXCUBE UNIVERSAL BANK). The 'Shipping Guarantee Details' section includes 'Product Code' (SGLC), 'Product Description' (Shipping Guarantee), 'Outstanding LC Amount' (\$1,000.00), 'SG/DO Beneficiary' (006217 XYZ Company), and 'SG/DO Expiry Date' (Nov 17, 2020). The 'Mode of Transport' is set to 'Sea'. The interface includes a left sidebar with navigation options like 'Main', 'Shipment Details', and 'Additional Fields'. The top navigation bar shows 'Clarification Details', 'Overrides', and 'View LC'. The right sidebar contains an 'Events' tab. At the bottom, there is an 'Audit' button and a row of action buttons: 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

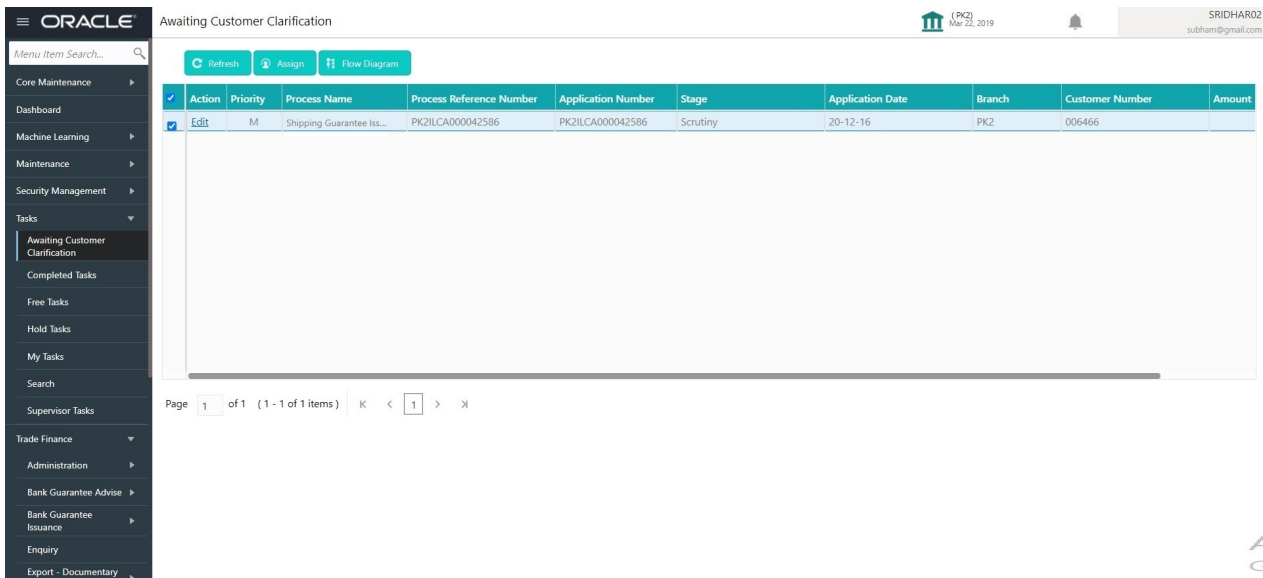
4. The **Request Clarification** detailed screen appears, user enters the information and clicks **Save**, the information should be sent to customer.

5. System will alert the OBTFPM user through email of receipt of Clarification for Bi-Directional clarifications sent to OBDX user.

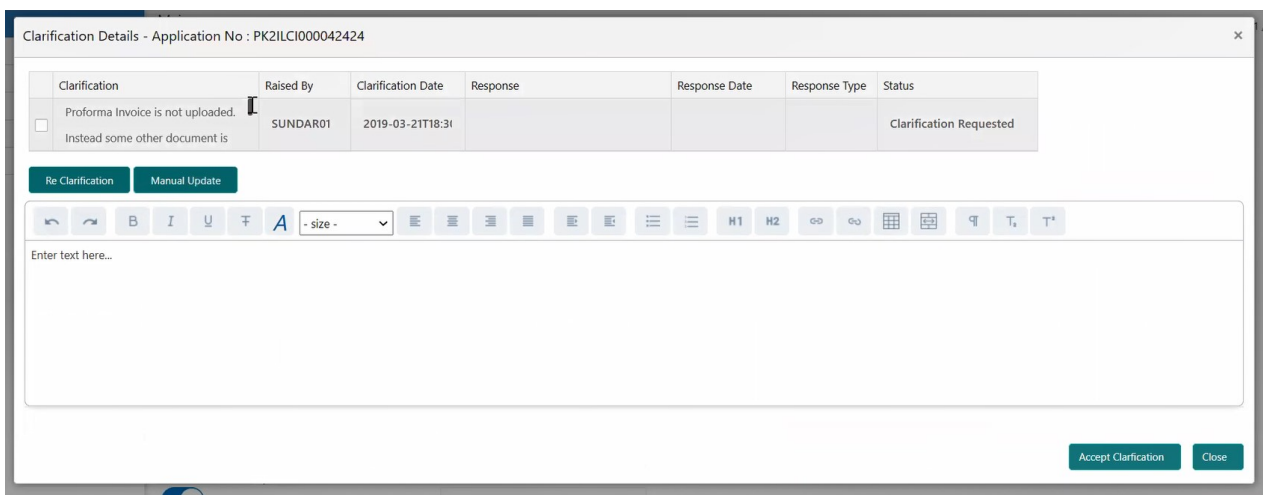
6. OBTFPM user should be able to see the details in the View Clarification window and the status will be Clarification Requested. The user can click Re clarification if required.



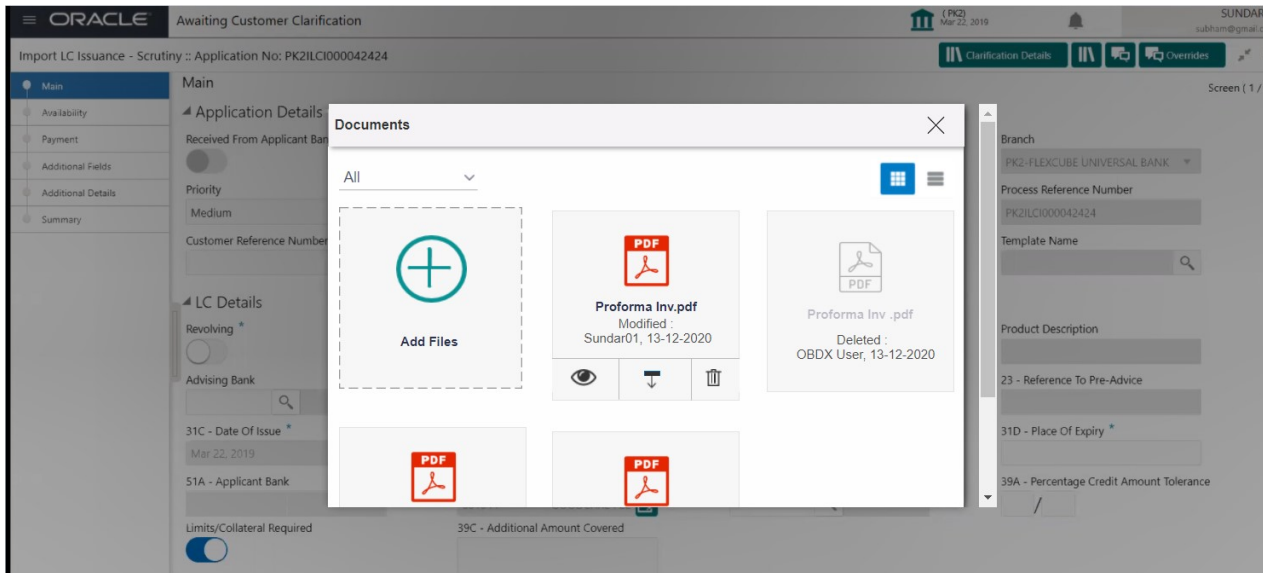
7. The task goes to **Awaiting Customer Clarification** state until the response received from the customer.



8. Click **Edit**.



9. The user can click **Accept Clarification** button, if the query raised has been answered by the customer. The status should change to Clarification Accepted. on next the task moves to the next logical stage.
10. Bank user checks the Clarification and opens the **Documents** Tab. System displays both the new document uploaded and the metadata for deleted document and the deleted document is displayed in a blurred way. User can open the new document, the deleted document cannot be opened. System should also increment the version number of the documents.



## Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

### Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
  - Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
1. Customer Maintenance details are replicated from OBTF to OBTFPM.
  2. In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online".
  3. In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder. In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
  4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

## Data Enrichment

On successful completion of Registration of a Shipping Guarantee Issuance request, the request moves to Data Enrichment stage. At this stage the bank user would be able to update fields, check the limits

and other legal and financial risks the bank is exposed to and either approve or reject or refer the transaction to the customer for changes. The user should also be able to input transaction details.

Requests that are created online (Trade Portal) the task will be created in DE stage directly and the fields will be populated based on the incoming request. Submission mode for online requests will be "Online".

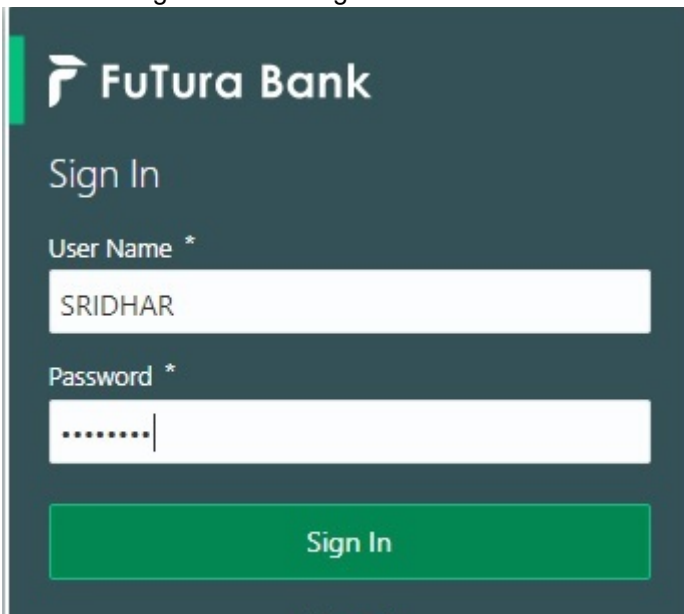


**Note**

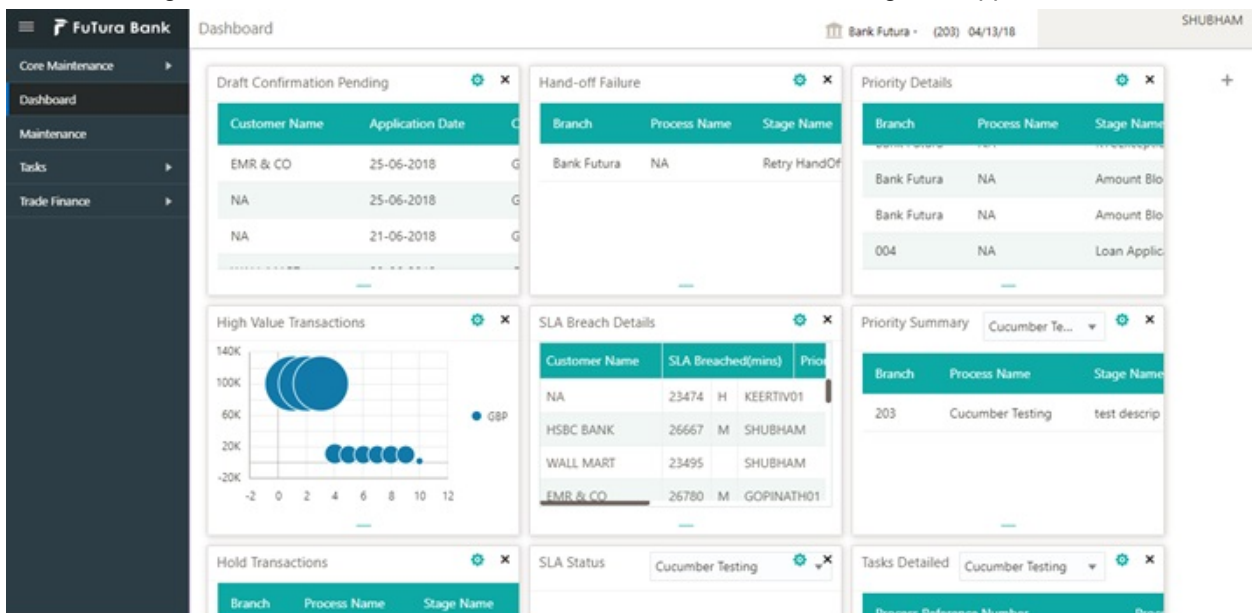
For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets mapped to the user.



### 3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Refresh Acquire Assign Flow Diagram

| Action                    | Priority | Process Name              | Process Reference Number | Application Number | Stage                 | Application Date | Branch | Customer Num |
|---------------------------|----------|---------------------------|--------------------------|--------------------|-----------------------|------------------|--------|--------------|
| <b>Acquire &amp; Edit</b> | M        | Shipping Guarantee Iss... | PK2SGTI000037109         | PK2SGTI000037109   | DataEnrichment        | 20-10-08         | PK2    | 001044       |
| Acquire & Edit            |          | Export LC Drawing         | PK2ELCD000037105         | PK2ELCD000037105   | Scrutiny              | 20-10-07         | PK2    | 001044       |
| Acquire & Edit            |          | Export LC Drawing         | PK2ELCD000037104         | PK2ELCD000037104   | Scrutiny              | 20-10-07         | PK2    | 001044       |
| Acquire & Edit            | M        | Import LC Liquidation     | PK2ILCL000037100         | PK2ILCL000037100   | Handoff RetryTask     | 20-10-07         | PK2    | 001044       |
| Acquire & Edit            | M        | Import LC Liquidation     | PK2ILCL000037099         | PK2ILCL000037099   | Liquidation           | 20-10-07         | PK2    | 001044       |
| Acquire & Edit            | M        | Import LC Drawing         | PK2ILCD000037093         | PK2ILCD000037093   | Handoff RetryTask     | 20-10-07         | PK2    | 006466       |
| Acquire & Edit            | H        | Import LC Issuance        | PK2ILCI000037091         | PK2ILCI000037091   | Scrutiny              | 20-10-07         | PK2    | 001043       |
| Acquire & Edit            | M        | Import LC Issuance        | PK2ILCI000037086         | PK2ILCI000037086   | Approval Task Level 1 | 20-10-07         | PK2    | 001044       |
| Acquire & Edit            | M        | Import LC Issuance        | PK2ILCI000037088         | PK2ILCI000037088   | Scrutiny              | 20-10-07         | PK2    | 001044       |
| Acquire & Edit            | M        | Import LC Issuance        | PK2ILCI000036623         | PK2ILCI000036623   | Approval Task Level 1 | 20-09-29         | PK2    | 001044       |
| Acquire & Edit            | M        | Import LC Issuance        | PK2ILCI000037024         | PK2ILCI000037024   | Approval Task Level 1 | 20-10-06         | PK2    | 001044       |
| Acquire & Edit            | M        | Import LC Drawing         | PK2ILCD000037083         | PK2ILCD000037083   | Scrutiny              | 20-10-07         | PK2    | 001043       |
| Acquire & Edit            | M        | Import LC Drawing         | PK2ILCD000037078         | PK2ILCD000037078   | Handoff RetryTask     | 20-10-07         | PK2    | 006466       |

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### 4. Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to acquire the task.

Free Tasks

Refresh Acquire Assign Flow Diagram

| Action  | Priority | Process Name              | Process Reference Number | Application Number | Stage                 | Application Date | Branch | Customer Num |
|---|----------|---------------------------|--------------------------|--------------------|-----------------------|------------------|--------|--------------|
| <input checked="" type="checkbox"/> <b>Acquire &amp; Edit</b> | M        | Shipping Guarantee Iss... | PK2SGTI000037109         | PK2SGTI000037109   | DataEnrichment        | 20-10-08         | PK2    | 001044       |
| <input type="checkbox"/> Acquire & Edit                       |          | Export LC Drawing         | PK2ELCD000037105         | PK2ELCD000037105   | Scrutiny              | 20-10-07         | PK2    | 001044       |
| <input type="checkbox"/> Acquire & Edit                       |          | Export LC Drawing         | PK2ELCD000037104         | PK2ELCD000037104   | Scrutiny              | 20-10-07         | PK2    | 001044       |
| <input type="checkbox"/> Acquire & Edit                       | M        | Import LC Liquidation     | PK2ILCL000037100         | PK2ILCL000037100   | Handoff RetryTask     | 20-10-07         | PK2    | 001044       |
| <input type="checkbox"/> Acquire & Edit                       | M        | Import LC Liquidation     | PK2ILCL000037099         | PK2ILCL000037099   | Liquidation           | 20-10-07         | PK2    | 001044       |
| <input type="checkbox"/> Acquire & Edit                       | M        | Import LC Drawing         | PK2ILCD000037093         | PK2ILCD000037093   | Handoff RetryTask     | 20-10-07         | PK2    | 006466       |
| <input type="checkbox"/> Acquire & Edit                       | H        | Import LC Issuance        | PK2ILCI000037091         | PK2ILCI000037091   | Scrutiny              | 20-10-07         | PK2    | 001043       |
| <input type="checkbox"/> Acquire & Edit                       | M        | Import LC Issuance        | PK2ILCI000037086         | PK2ILCI000037086   | Approval Task Level 1 | 20-10-07         | PK2    | 001044       |
| <input type="checkbox"/> Acquire & Edit                       | M        | Import LC Issuance        | PK2ILCI000037088         | PK2ILCI000037088   | Scrutiny              | 20-10-07         | PK2    | 001044       |
| <input type="checkbox"/> Acquire & Edit                       | M        | Import LC Issuance        | PK2ILCI000036623         | PK2ILCI000036623   | Approval Task Level 1 | 20-09-29         | PK2    | 001044       |
| <input type="checkbox"/> Acquire & Edit                       | M        | Import LC Issuance        | PK2ILCI000037024         | PK2ILCI000037024   | Approval Task Level 1 | 20-10-06         | PK2    | 001044       |
| <input type="checkbox"/> Acquire & Edit                       | M        | Import LC Drawing         | PK2ILCD000037083         | PK2ILCD000037083   | Scrutiny              | 20-10-07         | PK2    | 001043       |
| <input type="checkbox"/> Acquire & Edit                       | M        | Import LC Drawing         | PK2ILCD000037078         | PK2ILCD000037078   | Handoff RetryTask     | 20-10-07         | PK2    | 006466       |

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5. The acquired task will be available in **My Tasks** tab. Click **Edit** to modify the registered task.

|                                     | Edit | Priority | Process Name              | Process Reference Num... | Application Num... | Stage                 | Application Date | Branch | Customer Number | Amount      |
|-------------------------------------|------|----------|---------------------------|--------------------------|--------------------|-----------------------|------------------|--------|-----------------|-------------|
| <input checked="" type="checkbox"/> | Edit | Medium   | Shipping Guarantee Iss... | 032SGT000164432          | 032SGT000164432    | DataEnrichment        | 23-08-03         | 032    | 032204          | AED0.00     |
| <input type="checkbox"/>            | Edit | Medium   | Islamic Export Docume...  | 032IEDC000164412         | 032IEDC000164412   | Approval Task Level 1 | 23-08-03         | 032    | 032204          | AED1,000.00 |
| <input type="checkbox"/>            | Edit | Medium   | Islamic Export Docume...  | 032IEDU000164357         | 032IEDU000164357   | Approval Task Level 1 | 23-08-03         | 032    | 032204          | AED100.00   |
| <input type="checkbox"/>            | Edit | Medium   | Export Documentary C...   | 032EDCR000164276         | 032EDCR000164276   | Registration          | 23-08-03         | 032    | 032204          | AED100.00   |
| <input type="checkbox"/>            | Edit | Medium   | Export Documentary C...   | 032EDCR000164274         | 032EDCR000164274   | Registration          | 23-08-03         | 032    | 032204          | AED100.00   |
| <input type="checkbox"/>            | Edit | Medium   | Export Documentary C...   | 032EDCR000164272         | 032EDCR000164272   | Registration          | 23-08-03         | 032    | 032204          | AED100.00   |
| <input type="checkbox"/>            | Edit | Medium   | Export Documentary C...   | 032EDCR000164237         | 032EDCR000164237   | DataEnrichment        | 23-08-03         | 032    | 032204          | AED100.00   |
| <input type="checkbox"/>            | Edit | Medium   | Export Documentary C...   | 032EDCU000164104         | 032EDCU000164104   | DataEnrichment        | 23-08-03         | 032    | 032204          | AED100.00   |
| <input type="checkbox"/>            | Edit | Medium   | Export LC Transfer        | 032ELCT000163937         | 032ELCT000163937   | Scrutiny              | 23-08-03         | 032    | 032204          | AED100.00   |
| <input type="checkbox"/>            | Edit | Medium   | Export Documentary C...   | 091EDCB000163912         | 091EDCB000163912   | DataEnrichment        | 23-08-03         | 091    | 091214          | AED1,000.00 |
| <input type="checkbox"/>            | Edit | Medium   | Import LC Amendment       | 032IECA000163886         | 032IECA000163886   | Scrutiny              | 23-08-03         | 032    | 032204          | AED1,000.00 |

The Data Enrichment stage has following hops:

- Main
- Shipment Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

## Main Details

Main details section has two sub section as follows:

- Application Details
- Shipping Guarantee Details

## Application Details

Applications from Online channels will be directly available in the Data Enrichment stage and the fields will be populated with the details from incoming request.

When the requests that are created online (Trade Portal) then the Submission mode for online requests will be "Online" and user cannot change the Submission mode.

Refer to [Application Details](#) for more information of the fields.

The screenshot displays the Oracle Banking Trade Finance application interface. At the top, there is a navigation bar with the Oracle logo, user information (ZARTABO2, subham@gmail.com), and the date (Aug 3, 2023). Below the navigation bar, there are tabs for 'Clarification Details', 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', and 'Signatures'. The main content area is divided into two sections: 'Application Details - Main' and 'Shipping Guarantee Details'. The 'Application Details - Main' section includes fields for 'Documentary Collection', 'Process Reference Number' (032SGT1000164450), 'Booking Date' (Aug 3, 2023), 'Documentary Credit Number', 'Customer Reference Number', 'Customer' (100201 Airtel Customer), 'Priority' (Medium), and 'Branch' (032-Oracle Banking Trade Finan...). The 'Shipping Guarantee Details' section includes fields for 'Product Code' (SGTY), 'Amount In Local Currency' (AED 444.29), 'SG/DO Expiry Date' (Aug 4, 2023), 'Product Description' (Shipping Guarantee), 'Contract Reference Number' (032SGTY23215A001), 'Mode of Transport' (Air), 'Outstanding LC Amount', 'User Reference Number' (032SGTY23215A001), 'Cargo Arrival Details', 'SG/DO Amount' (GBP £100.00), 'SG/DO Beneficiary' (150705 Onyx Pvt Ltd), and a 'Limit verification required' toggle switch.

## Shipping Guarantee Details

The fields listed under this section are same as the fields listed under the [Shipping Guarantee Details](#) section in [Registration](#). Refer to [Shipping Guarantee Details](#) for more information of the fields. During Registration, if user has not captured values, then user can capture the details in this section.

This screenshot shows a close-up of the 'Shipping Guarantee Details' section. It includes the same fields as the previous screenshot: 'Product Code' (SGTY), 'Amount In Local Currency' (AED 444.29), 'SG/DO Expiry Date' (Aug 4, 2023), 'Product Description' (Shipping Guarantee), 'Contract Reference Number' (032SGTY23215A001), 'Mode of Transport' (Air), 'Outstanding LC Amount', 'User Reference Number' (032SGTY23215A001), 'Cargo Arrival Details', 'SG/DO Amount' (GBP £100.00), 'SG/DO Beneficiary' (150705 Onyx Pvt Ltd), and a 'Limit verification required' toggle switch.

## Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description   | Sample Values |
|-----------------------|---|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |               |

| Field                 | Description  | Sample Values |
|-----------------------|--|---------------|
| Documents             | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>Application displays mandatory documents to be uploaded for amendment. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> |               |
| Remarks               | <p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>   |               |
| Overrides             | <p>Click to view the overrides accepted by the user.</p>   |               |
| Customer Instruction  | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>  |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>  |               |
| Request Clarification | <p>User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.</p>  |               |




| Field        | Description  | Sample Values |
|--------------|--|---------------|
| Reject       | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>User can reject the task and has to enter the Reject Reason and the task may get terminated or moved to Reject Approval Stage</p> <p>This reject reason will be available in the remarks window throughout the process.</p>  |               |
| Refer        | <p>User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 – Others</li> </ul> |               |
| Hold         | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>   |               |
| Cancel       | <p>Cancel the Guarantee Issuance Data Enrichment stage inputs.</p>   |               |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>   |               |
| Next         | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>   |               |

# Shipment Details

Provide the shipping details based on the description in the following table:

| Field                   | Description   | Sample Values |
|-------------------------|---|---------------|
| Date of Shipment        | Select the shipment date.<br>If the shipment date is a future date, system should display an Error message.                                     |               |
| Port of Loading         | Enter the port of loading details.  |               |
| Port of Discharge       | Enter the port of discharge details.  |               |
| Carrier Name            | Enter the carrier details.  |               |
| Bill of Lading/ AWB No. | Enter the Bill of Lading no. or Airway Bill number.   |               |
| Shipment Marks          | Enter the Shipment marks and number.  |               |
| Shipping Agent          | Enter the Shipping Agent details.   |               |
| Goods Details           |   |               |
| Goods Code              | Against LC – System defaults the License Details as per LC details<br>Against BC - User to select the License Details as per the documents      |               |
| Goods Type              | System defaults the goods type as per the selected goods code.  |               |
| Goods Description       | Against LC – System defaults the Goods Description as per LC Details<br>Against BC - User to capture the Goods Description as per the documents |               |

| Field  | Description   | Sample Values |
|--|---|---------------|
| <br>Edit icon | Click Edit icon to edit the goods description.                                    |               |
| No. of Units   | Enter the goods quantity as per the documents.                                    |               |
| Price Per Unit   | Enter the unit price as per the documents.  |               |
| Total Amount   | System to calculate the total price for Goods.                                    |               |
| Action   | Click Edit to edit the goods details.<br>Click Delete to delete the goods record. |               |
| Shipping Guarantee Details   |   |               |
| Shipping Guarantee Text  | Enter the Shipping Guarantee text.  |               |

### Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description  | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.  |               |
| Documents             | Click the Documents icon to View/Upload the required documents.<br>Application will display the mandatory and optional documents.  |               |
| Remarks               | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.  |               |
| Overrides             | Click to view the overrides accepted by the user.  |               |
| Customer Instruction  | Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |

| Field                 | Description  | Sample Values |
|-----------------------|--|---------------|
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>    |               |
| Request Clarification | User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.   |               |
| Reject                | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>User can reject the task and has to enter the Reject Reason and the task may get terminated or moved to Reject Approval Stage</p> <p>This reject reason will be available in the remarks window throughout the process.</p>  |               |
| Refer                 | <p>User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 – Others</li> </ul> |               |
| Hold                  | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>   |               |
| Cancel                | Cancel the Shipping Guarantee Issuance Data Enrichment stage inputs.   |               |
| Save & Close          | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>   |               |
| Back                  | On click <b>Back</b> , user navigates to previous step.  |               |
| Next                  | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.  |               |

## Additional Fields

Based on the User defined fields maintained system defaults the UDF maintained.

## Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description  | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.  |               |
| Documents             | Click the Documents icon to View/Upload the required documents.<br>Application will display the mandatory and optional documents.  |               |
| Remarks               | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.  |               |
| Overrides             | Click to view the overrides accepted by the user.  |               |
| Customer Instruction  | Click to view/ input the following <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |

| Field                 | Description  | Sample Values |
|-----------------------|--|---------------|
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>    |               |
| Request Clarification | User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.   |               |
| Reject                | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>User can reject the task and has to enter the Reject Reason and the task may get terminated or moved to Reject Approval Stage</p> <p>This reject reason will be available in the remarks window throughout the process.</p>  |               |
| Refer                 | <p>User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 – Others</li> </ul> |               |
| Hold                  | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>   |               |
| Cancel                | Cancel the Shipping Guarantee Issuance Data Enrichment stage inputs.   |               |
| Save & Close          | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>   |               |
| Back                  | On click <b>Back</b> , user navigates to previous step.  |               |
| Next                  | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.  |               |

# Advices

Based on the Advices maintained at the Product level, system should default the advices.

The screenshot displays the Oracle Shipping Guarantee Issuance application interface. At the top, the title bar reads "Shipping Guarantee Issuance" and "DataEnrichment :: Application No:- 032SGT1000164464". A navigation menu includes "Clarification Details", "Documents", "Remarks", "Overrides", "Customer Instruction", and "Signatures". On the left, a sidebar menu lists "Main", "Shipment Details", "Additional Fields", "Advices" (highlighted), "Additional Details", "Settlement Details", and "Summary". The main content area is titled "Advices" and contains two columns of advice details:

| Advice : PAYMENT_MESS...             | Advice : PAYMENT_MESS...             |
|--------------------------------------|--------------------------------------|
| Advice Name : <b>PAYMENT_MESSAGE</b> | Advice Name : <b>PAYMENT_MESSAGE</b> |
| Advice Party :                       | Advice Party :                       |
| Party Name :                         | Party Name :                         |
| Suppress : <b>NO</b>                 | Suppress : <b>NO</b>                 |
| Advice                               | Advice                               |

At the bottom of the interface, there is an "Audit" button on the left and a row of action buttons: "Request Clarification", "Reject", "Refer", "Hold", "Cancel", "Save & Close", "Back", and "Next". The top right corner indicates "Screen (4 / 7)".

User can also suppress the Advice, if required.

## Advice Details

Advice Details
✕

---

▲ Advice Details

Suppress Advice

Party ID: 000585

Advice Name: LC\_CASH\_COL\_ADV

Party Name: NESTLE

Medium: SWIFT

Advice Party: APP

---

▲ Free Format Text

+
-

| Select              | FFT Code | FFT Description |
|---------------------|----------|-----------------|
| No data to display. |          |                 |

---

▲ Instructions

+
-

| Select                   | Instruction Code | Instruction Description              | Action  |
|--------------------------|------------------|--------------------------------------|---|
| <input type="checkbox"/> | DELIVERACC       | DELIVER DOCUMENTS AGAINST ACCEPTANCE | <span style="background-color: #007060; color: white; padding: 2px 5px;">+</span> |




OK
Cancel

| Field   | Description  | Sample Values |
|---|--|---------------|
| Suppress Advice   | <p><b>Toggle on:</b> Switch on the toggle if advice is suppressed.</p> <p><b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments</p> |               |
| Advice Name   | Value be defaulted from Guarantee /SBLC Issuance. User can update if required.   |               |
| Medium  | The medium of advices is defaulted from the system. User can update if required.   |               |
| Advice Party  | Value be defaulted from Guarantee /SBLC Issuance. User can update if required.   |               |
| Party ID  | Value be defaulted from Guarantee /SBLC Issuance. User can update if required.   |               |
| Party Name  | <p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC Issuance.</p>   |               |
| Free Format Text  |  |               |
| FFT Code  | User can select the FFT code as a part of free text.   |               |
| FFT Description   | FFT description is populated based on the FFT code selected.   |               |
| <div style="background-color: #007060; color: white; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center; margin: 0 auto;">+</div> | Click plus icon to add new FFT code.   |               |

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| Field   | Description  | Sample Values |
|---|--|---------------|
|  | Click minus icon to remove any existing FFT code.                    |               |
| Instruction Details   |  |               |
| Instruction Code  | User can select the instruction code as a part of free text.         |               |
| Instruction Description   | Instruction description is populated based on the FFT code selected. |               |
|  | Click plus icon to add new instruction code.                         |               |
|  | Click minus icon to remove any existing selected instruction code.   |               |

## Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description  | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.  |               |
| Documents             | Click the Documents icon to View/Upload the required documents.<br>Application will display the mandatory and optional documents.  |               |
| Remarks               | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.  |               |
| Overrides             | Click to view the overrides accepted by the user.  |               |
| Customer Instruction  | Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |

| Field                 | Description  | Sample Values |
|-----------------------|--|---------------|
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>    |               |
| Request Clarification | User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.   |               |
| Reject                | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>User can reject the task and has to enter the Reject Reason and the task may get terminated or moved to Reject Approval Stage</p> <p>This reject reason will be available in the remarks window throughout the process.</p>  |               |
| Refer                 | <p>User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 – Others</li> </ul> |               |
| Hold                  | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>   |               |
| Cancel                | Cancel the Shipping Guarantee Data Enrichment stage inputs.  |               |
| Save & Close          | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>   |               |
| Back                  | On click <b>Back</b> , user navigates to previous step.  |               |
| Next                  | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.  |               |

# Additional Details

ORACLE (DEFAULTENTITY) FLEXCUBE UNIVERSAL BAN... Aug 3, 2023 ZARTAB01 subham@gmail.com

Shipping Guarantee Issuance DataEnrichment :: Application No:- 0325GTI000164432

Clarification Details Documents Remarks Overrides Customer Instruction Signatures

Main Shipment Details Additional Fields Advices Additional Details Settlement Details Summary

Additional Details

| Limit & Collateral   | Charge Details   | Preview Message                   |
|--|--|-----------------------------------|
| Limit/Liability :<br>Currency :<br>Limit Contribution :<br>Limit Status :<br>Collateral Currency :<br>Collateral Contr. :<br>Collateral Status : | Charge :<br>Commission :<br>Tax :<br>Block Status : <b>Not Initiated</b> | Language :<br>Preview Message : - |

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

## Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

| Customer ID         | Linkage Type | Liability Number | Line Id/Linkage Ref No | Line Serial | Contribution % | Contribution Currency | Contribution Amount | Limit Check Response | Response Message |
|---------------------|--------------|------------------|------------------------|-------------|----------------|-----------------------|---------------------|----------------------|------------------|
| No data to display. |              |                  |                        |             |                |                       |                     |                      |                  |

Cash Collateral Details

Collateral Percentage \* 20.0

Collateral Currency and amount GBP £220.00

Exchange Rate

| Sequence Number | Settlement Account Currency | Settlement Account | Exchange Rate | Collateral % | Contribution Amount | Contribution Amount in Account Currency | Account Balance Check Response |
|-----------------|-----------------------------|--------------------|---------------|--------------|---------------------|---|--------------------------------|
| 1               |                             | PK20010440017      | 1             | 100          |                     |   |                                |


Deposit Linkage Details

| Deposit Account                           | Deposit Currency | Deposit Maturity Date | Transaction Currency | Deposit Available In Transaction Currency | Linkage Amount(Transaction Currency) | Edit             | Delete                                |
|---|------------------|-----------------------|----------------------|---|--------------------------------------|------------------|---------------------------------------|
| <input type="checkbox"/> PK2CDP1221100002 | GBP              | 2023-04-20            | GBP                  | 87508                                     | £495.00                              | PK2CDP1221100002 | <input type="button" value="Delete"/> |

Save & Close Cancel

Limit Details
✕

|  |   |
|--|---|
| <p>Customer Id<br/>001044 <input type="text"/></p> <p>Contribution % *<br/>1.0 <input type="text"/></p> <p>Contribution Currency<br/>GBP <input type="text"/></p> <p>Limit/Liability Currency<br/>GBP <input type="text"/></p> <p>Limit Check Response<br/>Available <input type="text"/></p> <p>Expiry Date<br/><input type="text"/></p> <p>Response Message<br/>The Earmark can be performed as the f <input type="text"/></p> | <p>Linkage Type *<br/>Facility <input type="text"/></p> <p>Liability Number *<br/>PK2LIAB01 <input type="text"/></p> <p>Line Id/Linkage Ref No *<br/>PK2L01SL1 <input type="text"/></p> <p>Limits Description<br/><input type="text"/></p> <p>Contribution Amount *<br/><input type="text" value="£220.00"/></p> <p>Limit Available Amount<br/><input type="text" value="£999,999,903.89"/></p> <p>ELCM Reference Number<br/><input type="text"/></p> |
|--|---|


| Field   | Description                               | Sample Values |
|---|---|---------------|
|  | Click plus icon to add new Limit Details. |               |

**Limit Details**

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

|               |   |  |
|---------------|---|--|
| Customer ID   | Applicant's/Applicant Bank customer ID will get defaulted.  |  |
| Linkage Type  | Select the linkage type.<br>Linkage type can be: <ul style="list-style-type: none"> <li>• Facility</li> <li>• Liability</li> </ul> By default Linkage Type should be "Facility".  |  |
| Contribution% | System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.<br><br>Once contribution % is provided, system will default the amount.<br><br>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message. |  |

| Field                     | Description  | Sample Values |
|---------------------------|--|---------------|
| Liability Number          | <p>Click <b>Search</b> to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p>   |               |
| Contribution Currency     | The LC currency will be defaulted in this field.   |               |
| Line ID/Linkage Ref No    | <p>Click <b>Search</b> to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p><br/><b>Note</b></p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> <p>This field is disabled and read only, if <b>Linkage Type</b> is <b>Liability</b>.</p> |               |
| Limit/ Liability Currency | Limit Currency will be defaulted in this field, when you select the <b>Liability Number</b>  |               |
| Limits Description        | This field displays the limits description.  |               |
| Limit Check Response      | Response can be 'Success' or 'Limit not Available' based on the limit service call response.   |               |
| Amount to Earmark         | <p>Amount to Earmark</p> <p>will default based on the contribution %.</p> <p>User can change the value.</p>  |               |
| Expiry Date               | This field displays the date up to which the Line is valid   |               |
| Limit Available Amount    | <p>This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.</p> <p>The value in this field appears, if you click the Verify button.</p>   |               |

| Field  | Description  | Sample Values |
|--|--|---------------|
| Response Message   | Detailed Response message.<br>The value in this field appears, if you click the Verify button.                             |               |
| ELCM Reference Number  | This field displays the ELCM reference number.   |               |
| Below fields appear in the Limit Details grid along with the above fields. |  |               |
| Line Serial  | Displays the serial of the various lines available and mapped under the customer id.This field appears on the Limits grid. |               |
| Edit   | Click the link to edit the Limit Details   |               |
| Delete icon  | Click delete icon to delete the existing limit details.  |               |

### Collateral Details

When the Shipping Guarantee contract is Issued Against Documentary Credit, then system should auto fetch the Collateral Details from the underlying LC (if it is available in the LC). User can only add the additional collateral when the Shipping Guarantee contract is issued against Documentary Collection.

Provide the collateral details based on the description provided in the following table:

Collateral Details ✕

|   |  |
|---|--|
| <p>Total Collateral Amount * <input type="text" value="\$67.00"/></p> <p>Sequence Number <input type="text" value="2.0"/></p> <p>Collateral Contribution Amount * <input type="text" value="\$67.00"/></p> <p>Settlement Account Currency <input type="text" value="GBP"/></p> <p>Contribution Amount in Account Currency <input type="text" value="£0.00"/></p> <p>Response <input type="text" value="VS"/></p> <p style="text-align: center;"><input type="button" value="Verify"/></p> | <p>Collateral Amount to be Collected * <input type="text" value="\$0.00"/></p> <p>Collateral Split % * <input type="text" value="100.0"/> <input type="button" value="v"/> <input type="button" value="^"/></p> <p>Settlement Account * <input type="text" value="PK1000327018"/> <input type="button" value="Q"/></p> <p>Exchange Rate <input type="text" value="1.3"/> <input type="button" value="v"/> <input type="button" value="^"/></p> <p>Account Available Amount <input type="text" value="£99,999,393,343.91"/></p> <p>Response Message <input type="text" value="The amount block can be performed as"/></p> |
|---|--|

### Cash Collateral Details

|                       |  |
|-----------------------|--|
| Collateral Percentage | Specify the percentage of collateral to be linked to this transaction. |
|-----------------------|--|

|                                |   |  |
|--------------------------------|---|--|
| Collateral Currency and amount | System populates the contract currency as collateral currency by default.<br>User can modify the collateral Currency and amount.  |  |
| Exchange Rate                  | System populates the exchange rate maintained.<br>User can modify the collateral Currency and amount.<br>System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified. |  |


Click + plus icon or View link to add/view collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

|   |  |  |
|---|--|--|
| Total Collateral Amount                 | Read only field.<br>This field displays the total collateral amount provided by the user.  |  |
| Collateral Amount to be Collected       | Read only field.<br>This field displays the collateral amount yet to be collected as part of the collateral split.   |  |
| Sequence Number                         | Read only field.<br>The sequence number is auto populated with the value, generated by the system.   |  |
| Collateral Split %                      | Specify the collateral split% to be collected against the selected settlement account.   |  |
| Collateral Contribution Amount          | Collateral contribution amount will get defaulted in this field.<br><br>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified. |  |
| Settlement Account                      | Select the settlement account for the collateral.  |  |
| Settlement Account Currency             | Settlement Account Currency will be auto-populated based on the Settlement Account selection.  |  |
| Exchange Rate                           | Read only field.<br>This field displays the exchange rate, if the settlement account currency is different from the collateral currency.   |  |
| Contribution Amount in Account Currency | Read only field.<br>This field displays the contribution amount in the settlement account currency as defaulted by the system.   |  |

|                          |   |  |
|--------------------------|---|--|
| Account Available Amount | Read only field.<br>Account available amount will be auto-populated based on the Settlement Account selection.              |  |
| Response                 | Response can be 'Success' or 'Amount not Available'.<br>System populates the response on clicking the <b>Verify</b> button. |  |
| Response Message         | Detailed Response message.<br>System populates the response on clicking the <b>Verify</b> button.                           |  |
| Verify                   | Click to verify the account balance of the Settlement Account.  |  |
| Save & Close             | Click to save and close the record.   |  |
| Cancel                   | Click to cancel the entry.  |  |

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

|  |   |  |
|--|---|--|
| Collateral %   | User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.<br><br>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.<br><br>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified". |  |
| Contribution Amount  | This field displays the collateral contribution amount.<br><br>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified".  |  |
| Account Balance Check Response   | This field displays the account balance check response.   |  |
| Delete Icon<br> | Click minus icon to remove any existing Collateral Details.   |  |
| Edit/ View Link  | Click Edit/ View link to edit/view any existing Collateral Details.   |  |



### Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕


|  |   |
|--|---|
| Customer Id<br><input style="width: 90%;" type="text" value="091215"/>                     | Deposit Account<br><input style="width: 90%;" type="text" value="PK2CDP1221100002"/>                  |
| Deposit Branch<br><input style="width: 90%;" type="text" value="PK2"/>                     | Deposit Maturity Date<br><input style="width: 90%;" type="text"/>                                     |
| Deposit Available Amount<br>AED <input style="width: 80%;" type="text" value="87,508.00"/> | Deposit Available In Transaction Currency<br><input style="width: 90%;" type="text"/>                 |
| Exchange Rate<br><input style="width: 90%;" type="text"/>                                  | Linkage Amount(Transaction Currency) *<br>AED <input style="width: 80%;" type="text" value="450.00"/> |
| Linkage Percentage % *<br><input style="width: 80%;" type="text" value="45.00"/>           | Linkage Amount(Transaction Currency) *<br>AED <input style="width: 80%;" type="text" value="450.00"/> |

Save & Close Close

| Field   | Description  | Sample Values |
|---|--|---------------|
| Click + plus icon to add new deposit details. |  |               |
| Customer Id                                   | Customer ID is defaulted from the system.<br>User can change the customer ID.  |               |
| Deposit Account                               | Click <b>Search</b> to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage. |               |
| Deposit Branch                                | Branch will be auto populated based on the Deposit account selection.  |               |
| Deposit Available Amount                      | Amount will be auto-populated based on the Deposit Account selection.  |               |
| Deposit Maturity Date                         | Maturity Date of deposit is displayed based on the Deposit Account selection.  |               |
| Exchange Rate                                 | Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.  |               |

| Field                                     | Description  | Sample Values |
|---|--|---------------|
| Deposit Available in Transaction Currency | Deposit amount available should be displayed after exchange rate conversion, if applicable.  |               |
| Linkage Percentage%                       | Specify the value for linkage percentage.  |               |
| Linkage Amount (Transaction Currency):    | System to default the transaction amount user can change the value.<br><br>System validates the linking amount with available Deposit balance and should not allow to link more than the available amount. |               |

Below fields appear in the **Deposit Details** grid along with the above fields.

|  |  |  |
|--|--|--|
| Deposit Currency   | The currency will get defaulted in this field.   |  |
| Transaction Currency   | The currency will get defaulted in this field from the underlying task.                  |  |
| Delete Icon<br> | Click minus icon to remove the existing Linked deposit details by selecting the Deposit. |  |
| Edit Link  | Click edit link to edit any existing deposit Details.                                    |  |

## Commission, Charges and Taxes Details

After Advices, click on **Next** and landing on the Additional Details tab, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details
✕

Recalculate
Redefault

☒ Commission Details

Event

Event Description

| Component           | Rate | Mod. Rate | Currency | Amount | Modified | Defer | Waive | Charge Party | Settl. Acct | Amendable |
|---------------------|------|-----------|----------|--------|----------|-------|-------|--------------|-------------|-----------|
| No data to display. |      |           |          |        |          |       |       |              |             |           |

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

☒ Charge Details

| Component           | Tag currency | Tag Amount | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|---------------------|--------------|------------|----------|--------|----------|---------|-------|-------|--------------|--------------------|
| No data to display. |              |            |          |        |          |         |       |       |              |                    |

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

☒ Tax Details

| Component           | Type | Value Date | Ccy | Amount | Billing | Defer | Settl. Acct |
|---------------------|------|------------|-----|--------|---------|-------|-------------|
| No data to display. |      |            |     |        |         |       |             |

Save & Close
Close

## Commission Details

The Commission Details with values is defaulted here, if default commission is available under the product.

Provide the Commission Details based on the description provided in the following table:

| Field             | Description   | Sample Values |
|-------------------|---|---------------|
| Event             | Read only field.<br>This field displays the event name.   |               |
| Event Description | Read only field.<br>This field displays the description of the event.   |               |
| Component         | Select the commission component   |               |
| Rate              | Defaults from product. User can change the rate, if required.<br><br>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.<br><br>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.  |               |
| Modified Rate     | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.   |               |
| Currency          | Defaults the currency in which the commission needs to be collected   |               |
| Amount            | An amount that is maintained under the product code defaults in this field.<br><br>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.<br><br>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. |               |
| Modified          | User can enter a new amount in 'Modified amount' field. From the default value, if the rate is changed or the amount is changed, the same is updated in the modified amount field.  |               |
| Billing           | If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.   |               |
| Defer             | Select the check box, if charges/commissions has to be deferred and collected at any future step.   |               |

| Field              | Description   | Sample Values |
|--------------------|---|---------------|
| Waive              | Select the check box to waive charges/ commission.<br><br>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. |               |
| Charge Party       | Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.   |               |
| Settlement Account | Details of the Settlement Account.  |               |
| Amendable          | Displays if the field is amendable or not.  |               |

Fields and Description of Charge Details provided in the following table:

| Field        | Description   | Sample Values |
|--------------|---|---------------|
| Component    | Charge Component type.  |               |
| Tag Currency | Defaults the tag currency in which the charges have to be collected.  |               |
| Tag Amount   | Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.   |               |
| Currency     | Defaults the currency in which the charges have to be collected.  |               |
| Amount       | An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.   |               |
| Modified     | User can enter a new amount in 'Modified' field. From the default value, if the rate is changed or the amount is changed, the same is updated in the modified amount field.   |               |
| Billing      | If charges are handled AZ the details to be available for billing engine for further processing.<br><br>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.<br><br>The user can not select/de-select the check box if it is de-selected by default.<br><br>This field is disabled, if 'Defer' toggle is enabled. |               |

| Field              | Description  | Sample Values |
|--------------------|--|---------------|
| Defer              | <p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p> |               |
| Waive              | <p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>  |               |
| Charge Party       | Charge party will be applicant by default. You can change the value to beneficiary.  |               |
| Settlement Account | Details of the settlement account.   |               |

## Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Following Tax Details will be displayed:

| Field      | Description   | Sample Values |
|------------|---|---------------|
| Component  | Tax Component type.   |               |
| Type       | Type of tax Component.  |               |
| Value Date | This field displays the value date of tax component.  |               |
| Currency   | The tax currency is the same as the commission.   |               |
| Amount     | The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.  |               |
| Billing    | If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. |               |
| Defer      | Select the check box, if charges/commissions has to be deferred and collected at any future step.   |               |

| Field              | Description                        | Sample Values |
|--------------------|------------------------------------|---------------|
| Settlement Account | Details of the settlement account. |               |

## Preview Message

Based on the shipping guarantee details captured in the previous screen, the preview message simulated from the back office and the user can view the message.

| Field                        | Description  | Sample Values |
|------------------------------|--|---------------|
| <b>Preview SWIFT Message</b> |  |               |
| Language                     | Read only field.<br>The language to preview the draft shipping guarantee details.<br>English is set as default language for the preview. |               |
| Message Type                 | Select the message type.   |               |
| Message Status               | Read only field.<br>Display the message status of draft message of liquidation details.  |               |
| Repair Reason                | Read only field.<br>Display the message repair reason of draft message of liquidation details.   |               |
| Preview Message              | Display a preview of the draft message.  |               |
| <b>Preview Mail Device</b>   |  |               |
| Language                     | Read only field.<br>The language to preview the advice message details.<br>English is set as default language for the preview.           |               |
| Advice Type                  | Select the advice type.  |               |

| Field  | Description  | Sample Values |
|--|--|---------------|
| Message Status   | Read only field.<br>Display the message status of draft message of liquidation details.  |               |
| Repair Reason  | Read only field.<br>Display the message repair reason of draft message of liquidation details.   |               |
| Preview Message  | Display a preview of the advice.   |               |
| Draft Confirmation Required  | This toggle enables the user to select if draft confirmation is required or not  |               |
| Following fields will have values on receipt of customer response. |  |               |
| Customer Response  | User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system |               |
| Customer Remarks   | Remarks from the customer for the draft  |               |
| Response Date  | Customer Response received date.   |               |
| Default Email list   | Default email address of the customer.   |               |
| Add Recipients   | Enables to add more recipients for the customer response.  |               |

## Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description   | Sample Values |
|-----------------------|---|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |               |
| Documents             | Click the Documents icon to View/Upload the required documents.<br>Application will display the mandatory and optional documents.                 |               |
| Remarks               | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.   |               |
| Overrides             | Click to view the overrides accepted by the user.   |               |

| Field                 | Description   | Sample Values |
|-----------------------|---|---------------|
| Customer Instruction  | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>   |               |
| Request Clarification | <p>User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.</p>   |               |
| Reject                | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>                                 |               |
| Refer                 | <p>User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 – Others</li> </ul>  |               |



| Field        | Description   | Sample Values |
|--------------|---|---------------|
| Hold         | The details provided will be on hold.<br>This option is used, if there are any pending information yet to be received from applicant.   |               |
| Cancel       | Cancel the Shipping Guarantee Issuance Data Enrichment stage inputs.  |               |
| Save & Close | Save the information provided and holds the task in you queue for working later.<br>This option will not submit the request   |               |
| Back         | On click <b>Back</b> , user navigates to previous step.   |               |
| Next         | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |               |

# Settlement Details

Provide the settlement details based on the description in the following table:

The screenshot shows the Oracle Settlement Details interface. At the top, there's a navigation bar with 'ORACLE' logo and user information. Below that, a breadcrumb trail shows 'Shipping Guarantee Issuance' and 'DataEnrichment :: Application No:- 0325GTI000164450'. The main content area is titled 'Settlement Details' and includes a 'Current Event' checkbox. A table lists settlement components with columns for Component, Currency, Debit/Credit, Account, Account Description, Account Currency, Netting Indicator, and Current Event. Below the table, there are sections for 'AMT\_PURCHASEDEQ - Party Details' (including Transfer Type, Charge Details, Netting Indicator, and various institution fields) and 'Payment Details' (including Sender To Receiver 1-6 and Payment Detail 1-4).

| Component       | Currency | Debit/Credit | Account    | Account Description | Account Currency | Netting Indicator | Current Event |
|-----------------|----------|--------------|------------|---------------------|------------------|-------------------|---------------|
| AMT_PURCHASED   | AED      | Debit        | 0323100010 | Union National Bank | AED              | No                | No            |
| AMT_PURCHASEDEQ | AED      | Debit        | 0322040001 | Air Arabia          | AED              | No                | No            |
| BCCOUR_LIQD     | AED      | Debit        | 0322040001 | Air Arabia          | AED              | No                | No            |
| BCSWIFT_LIQD    | AED      | Debit        | 0322040001 | Air Arabia          | AED              | No                | No            |
| BCTAX1_AMT      | AED      | Debit        | 0322040001 | Air Arabia          | AED              | No                | No            |
| BCTAX2_AMT      | AED      | Debit        | 0322040001 | Air Arabia          | AED              | No                | No            |
| BILL_LIQ_AMT    | AED      | Debit        | 0323100010 | Union National Bank | AED              | No                | No            |
| BILL_LIQ_AMTEQ  | AED      | Credit       | 0322040001 | Air Arabia          | AED              | No                | No            |
| CHG1_LIQD       | AED      | Debit        | 0323100010 | Union National Bank | AED              | No                | No            |
| CHG2_LIQD       | AED      | Debit        | 0323100010 | Union National Bank | AED              | No                | No            |

| Field               | Description   | Sample Values |
|---------------------|---|---------------|
| Current Event       | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. |               |
| Component           | Components gets defaulted based on the product selected.  |               |
| Currency            | Application displays the default currency for the component.  |               |
| Debit/Credit        | Application displays the debit/credit indicators for the components.  |               |
| Account             | Application Displays the account details for the components.  |               |
| Account Description | Application displays the description of the selected account.   |               |

| Field             | Description  | Sample Values |
|-------------------|--|---------------|
| Account Currency  | Application defaults the currency for all the items based on the account number. |               |
| Netting Indicator | Application displays the applicable netting indicator.                           |               |
| Current Event     | System displays the current event as Y or N.                                     |               |

## Party Details

Provide the party details based on the description in the following table:

| Field                                  | Description   | Sample Values |
|--|---|---------------|
| Transfer Type                          | Select the transfer type from the drop list: <ul style="list-style-type: none"> <li>• Customer Transfer</li> <li>• Bank Transfer for own account</li> <li>• Direct Debit Advice</li> <li>• Managers Check</li> <li>• Customer Transfer with Cover</li> <li>• Bank Transfer</li> </ul> |               |
| Charge Details                         | Select the charge details for the transactions: <ul style="list-style-type: none"> <li>• Beneficiary All Charges</li> <li>• Remitter Our Charges</li> <li>• Remitter All Charges</li> </ul>   |               |
| Netting Indicator                      | Select the netting indicator for the component: <ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>   |               |
| Ordering Customer                      | Select the ordering customer from the LOV.  |               |
| Ordering Institution                   | Select the ordering institution from the LOV.   |               |
| Senders Correspondent                  | Select the senders correspondent from the LOV.  |               |
| Receivers Correspondent                | Select the receivers correspondent from the LOV.  |               |
| Intermediary Institution               | Select the intermediary institution from the LOV.   |               |
| Account with Institution               | Select the account with institution from the LOV.   |               |
| Beneficiary Institution                | Select the beneficiary institution from the LOV.  |               |
| Ultimate Beneficiary                   | Select the ultimate beneficiary from the LOV.   |               |
| Intermediary Reimbursement Institution | Select the intermediary reimbursement institution from the LOV.   |               |
| Receiver                               | Select the receiver from the LOV.   |               |

## Payment Details

Provide the Payment Details based on the description in the following table:

| Field                | Description                             | Sample Values |
|----------------------|---|---------------|
| Sender to Receiver 1 | Provide the sender to receiver message. |               |
| Sender to Receiver 2 | Provide the sender to receiver message. |               |
| Sender to Receiver 3 | Provide the sender to receiver message. |               |
| Sender to Receiver 4 | Provide the sender to receiver message. |               |
| Sender to Receiver 5 | Provide the sender to receiver message. |               |
| Sender to Receiver 6 | Provide the sender to receiver message. |               |

## Remittance Information

Provide the Payment Details based on the description in the following table:

| Field            | Description                  | Sample Values |
|------------------|------------------------------|---------------|
| Payment Detail 1 | Provide the payment details. |               |
| Payment Detail 2 | Provide the payment details. |               |
| Payment Detail 3 | Provide the payment details. |               |
| Payment Detail 4 | Provide the payment details. |               |

## Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description   | Sample Values |
|-----------------------|---|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |               |
| Documents             | Click the Documents icon to View/Upload the required documents.<br>Application will display the mandatory and optional documents.                 |               |
| Remarks               | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.   |               |
| Overrides             | Click to view the overrides accepted by the user.   |               |

| Field                 | Description   | Sample Values |
|-----------------------|---|---------------|
| Customer Instruction  | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>   |               |
| Request Clarification | <p>User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.</p>   |               |
| Reject                | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>                                 |               |
| Refer                 | <p>User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 – Others</li> </ul>  |               |

| Field        | Description   | Sample Values |
|--------------|---|---------------|
| Hold         | The details provided will be on hold.<br>This option is used, if there are any pending information yet to be received from applicant.   |               |
| Cancel       | Cancel the Shipping Guarantee Issuance Shipping stage inputs.   |               |
| Save & Close | Save the information provided and holds the task in you queue for working later.<br>This option will not submit the request   |               |
| Back         | On click <b>Back</b> , user navigates to previous step.   |               |
| Next         | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |               |

## Summary

User can review the summary of details updated in for Shipping Guarantee Issuance.

The Summary tiles displays a list of important fields with values. The tiles where fields have been modified have to be highlighted in different color. User can drill down from Summary Tiles into respective data segments.

The screenshot shows the Oracle Shipping Guarantee Issuance Summary page. The page header includes the Oracle logo, user information (ZARTABOZ, subham@gmail.com), and application details (Shipping Guarantee Issuance, DataEnrichment :: Application No.: 0325GTI000164450). The main content area is divided into several summary tiles:

- Main:** Booking Date : 2023-08-03, Submission Mode : Desk, Issued Against : DC
- Shipment Details:** Port of Loading : aaaa, Port of Discharge : AaAa, Shipment Date : 2023-08-01, Carrier Name : aAaAaA
- Additional Fields:** Click here to view : Additional fields
- Advices:** Advice 1 : , Advice 2 :
- Limits and Collaterals:** Contribution Currency : , Amount to Earmark : null, Limit Status : Not Verified, Collateral Currency : , Collateral Contr. : , Collateral Status : Not Verified, Deposit Linkage CCY : , Deposit Linkage Amount :
- Commission, Charges and taxes:** Charge : , Commission : , Tax : , Block Status : Not Initiated
- Preview Messages:** Language : ENG, Preview Message : -
- Accounting Details:** Event : , AccountNumber : , Branch :
- Party Details:** Beneficiary : Onyx Pvt Ltd, Applicant : Airtel Cust...
- Compliance:** KYC : Not Initiate..., Sanctions : Not Initiate..., AML : Not Initiate...
- Settlement Details:** Component : , Account Number : , Currency :

The bottom navigation bar includes buttons for Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit.

### Tiles Displayed in Summary

- Main Details - User can view the application details and Shipping Guarantee/Standby details. User can modify the details if required.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- Advices - User can view the advices details.

- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission, Charges and Taxes - User can view the provided charge details, if required. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Accounting Details - User can see the accounting details.



**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Party Details - User can view the party details like beneficiary, advising bank etc..
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction checks.
- Settlement Details – User should be able to view the settlement details.

**Action Buttons**

Use action buttons based on the description in the following table:

| Field                 | Description  | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.  |               |
| Documents             | Click the Documents icon to View/Upload the required documents.<br><br>Application will display the mandatory and optional documents.  |               |
| Remarks               | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.  |               |
| Overrides             | Click to view the overrides accepted by the user.  |               |
| Customer Instruction  | Click to view/ input the following <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |

| Field                 | Description   | Sample Values |
|-----------------------|---|---------------|
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>   |               |
| Request Clarification | User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.  |               |
| Reject                | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Refer                 | <p>User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 – Others</li> </ul>  |               |
| Hold                  | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>  |               |
| Cancel                | Cancel the Data Enrichment Stage Inputs.  |               |
| Save & Close          | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>  |               |
| Back                  | On click <b>Back</b> , user navigates to previous step.   |               |



| Field  | Description  | Sample Values |
|--------|--|---------------|
| Submit | <p>On Submit, system should validate for all mandatory field values Task will get moved to next logical stage of Guarantee Issuance.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> |               |

## Exceptions

### Amount Block Exception Approval - Trade Finance Transactions

As a part of Amount Block Exception, the user has to review the amount block exception for Trade Finance requests that failed to create Amount Block in backend system.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

In Amount block, system checks whether the sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

## Summary

ORACLE (DEFAULTENTITY) Oracle Banking Trade Finan... Aug 3, 2023 ZARTAB01 subham@gmail.com

Shipping Guarantee Issuance  
AmountBlock Exception Approval :: Application No:- 032ISGI000139498

Documents Remarks Overrides Customer Instruction

Amount Block Exception Summary Screen ( 2 / 2)

| Main  | Shipment Details   | Additional Fields                            | Advices   |
|---|--|--|---|
| Booking Date : <b>2023-08-03</b><br>Submission Mode : <b>Desk</b><br>Issued Against : <b>DC</b>   | Port of Loading : <b>London</b><br>Port of Discharge : <b>Mumbai</b><br>Shipment Date : <b>2023-08-03</b><br>Carrier Name : <b>ABC</b> | Click here to view Additional fields         | Advice 1 : <b>LC_CASH_COL...</b><br>Advice 2 : <b>SG_INSTRUMEN...</b><br>Advice 3 : <b>PAYMENT_MESS...</b><br>Advice 4 : <b>PAYMENT_MESS...</b> |
| Limits and Collaterals  | Commission,Charges and Taxes   | Preview Messages                             | Party Details   |
| Contribution Currency :<br>Amount to Earmark : <b>null</b><br>Limit Status : <b>Not Verified</b><br>Collateral Currency :<br>Collateral Contr. :<br>Collateral Status : <b>Not Verified</b><br>Deposit Linkage CCY :<br>Deposit Linkage :<br>Amount | Charge : <b>AED 150.00</b><br>Commission : <b>AED 50.00</b><br>Tax : <b>AED 4.00</b><br>Block Status : <b>Failed</b>                   | Language : <b>ENG</b><br>Preview Message : - | Beneficiary : <b>LuLu Group L...</b><br>Applicant : <b>Aldar Proper...</b>  |
| Compliance  | Accounting Details   |  |   |
| KYC : <b>Not Verified</b><br>Sanctions : <b>Verified</b><br>AML : <b>Verified</b>   | Event : <b>CLIQ</b><br>AccountNumber : <b>0322050002</b><br>Branch : <b>032</b>  |  |   |

Audit Reject Refer Hold Approve Back Next

### Tiles Displayed in Summary:

- **Main Details** - User can view the application details and Shipping Guarantee/Standby details. User can modify the details if required.
- **Shipment Details** - User can view the shipment details.
- **Additional Fields** - User can view the additional fields.
- **Advices** - User can view the advices details.
- **Limits and Collaterals** - User can view the limits and collateral details. User can modify the details if required.
- **Commission, Charges and Taxes** - User can view the provided charge details, if required. User can modify the details if required.
- **Preview Messages** - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- **Accounting Details** - User can see the accounting details.



### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- **Party Details** - User can view the party details like beneficiary, advising bank etc..
- **Compliance** - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction checks.

## Action Buttons

Use action buttons based on the description in the following table:

| Field   | Description   | Sample Values |
|---------|---|---------------|
| Reject  | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold    | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>  |               |
| Refer   | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>  |               |
| Cancel  | Cancel the Amount Block Exception Inputs.   |               |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage.   |               |
| Back    | Task moves to previous logical step.  |               |

## Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

## Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

## Summary

Shipping Guarantee Issuance  
KYC Exceptional approval :: Application No:- 032ISGI000139498

Documents Remarks Overrides Customer Instruction

KYC Exception Summary

Screen ( 2 / 2)

| Main   | Shipment Details   | Additional Fields  | Advices   |
|--|--|--|---|
| Booking Date : 2023-08-03<br>Submission Mode : Desk<br>Issued Against : DC   | Port of Loading : London<br>Port of Discharge : Mumbai<br>Shipment Date : 2023-08-03<br>Carrier Name : ABC | Click here to view :<br>Additional fields                                    | Advice 1 : LC_CASH_COL...<br>Advice 2 : SG_INSTRUMEN...<br>Advice 3 : PAYMENT_MESS...<br>Advice 4 : PAYMENT_MESS... |
| Limits and Collaterals   | Commission, Charges and Taxes  | Preview Messages   | Party Details   |
| Contribution Currency :<br>Amount to Earmark : null<br>Limit Status : Not Verified<br>Collateral Currency :<br>Collateral Contr. :<br>Collateral Status : Not Verified<br>Deposit Linkage CCY :<br>Deposit Linkage :<br>Amount | Charge : AED 150.00<br>Commission : AED 50.00<br>Tax : AED 4.00<br>Block Status : Not Initiated            | Language : ENG<br>Preview Message : -  | Beneficiary : LuLu Group I...<br>Applicant : Aldar Proper...  |
| Compliance   | Accounting Details   | Settlement Details   |   |
| KYC : Not Verified<br>Sanctions : Not Initiate...<br>AML : Not Initiate...   | Event : CLIQ<br>AccountNumber : 152110003<br>Branch : 032  | Component : OTHBNKCHG_LI...<br>Account Number : 0322050002<br>Currency : AED |   |

Audit Reject Refer Hold Approve Back Next

### Tiles Displayed in Summary:

- Main Details - User can view the application details and Shipping Guarantee/Standby details. User can modify the details if required.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission, Charges and Taxes - User can view the provided charge details, if required. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Accounting Details - User can see the accounting details.



When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Party Details - User can view the party details like beneficiary, advising bank etc..
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction checks.
- Settlement Details – User should be able to view the settlement details.

## Action Buttons

Use action buttons based on the description in the following table:

| Field   | Description   | Sample Values |
|---------|---|---------------|
| Reject  | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold    | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>  |               |
| Refer   | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>  |               |
| Cancel  | Cancel the KYC Exception inputs.  |               |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage.   |               |
| Back    | Task moves to previous logical step.  |               |

## Multi Level Authorization

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



### Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Authorization Re-Key

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey ×

📄 Documents 💬 Remarks

Currency

 ✓

Amount

 ✓

Refer Cancel Proceed

Click Next to view the Summary screen.

## Summary

| Main                         |                        | Shipment Details              |                             | Additional Fields                    |                             | Advices                    |                            | Limits and Collaterals     |   |
|------------------------------|------------------------|-------------------------------|-----------------------------|--------------------------------------|-----------------------------|----------------------------|----------------------------|----------------------------|---|
| Booking Date : 2023-08-03    | Submission Mode : Desk | Port of Loading : London      | Port of Discharge : Mumbai  | Click here to view Additional fields | Advice 1 : LC_CASH_COL...   | Advice 2 : SG_INSTRUMEN... | Advice 3 : PAYMENT_MESS... | Advice 4 : PAYMENT_MESS... | Contribution Currency :<br>Amount to Earmark : null<br>Limit Status : Not Verified<br>Collateral Currency :<br>Collateral Contr. :<br>Collateral Status : Not Verified<br>Deposit Linkage CCY :<br>Deposit Linkage Amount : |
| Issued Against : DC          | Carrier Name : ABC     | Shipment Date : 2023-08-03    |                             |                                      |                             |                            |                            |                            |   |
| Commission,Charges and Taxes |                        | Preview Messages              |                             | Party Details                        |                             | Compliance                 |                            | Accounting Details         |   |
| Charge : AED 150.00          | Commission : AED 50.00 | Language : ENG                | Preview Message : -         | Beneficiary : LuLu Group I...        | Applicant : Aldar Proper... | KYC : Not Verified         | Sanctions : Verified       | AML : Verified             | Event : CLIQ<br>AccountNumber : 0322050002<br>Branch : 032  |
| Tax : AED 4.00               | Block Status : Failed  |                               |                             |                                      |                             |                            |                            |                            |   |
| Exception(Approval)          |                        | Settlement Details            |                             |                                      |                             |                            |                            |                            |   |
| AmountBlockKYC : EXCEPTION   | PLEASE VISIT : -       | Component : OTHBKNKCHG, LL... | Account Number : 0322050002 |                                      |                             |                            |                            |                            |   |
| REMARKS FOR MORE DETAILS     |                        | Currency : AED                |                             |                                      |                             |                            |                            |                            |   |

### Tiles Displayed in Summary:

- Main Details - User can view the application details and guarantee/ Standby details. User can modify the details if required.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission, Charges and Taxes - User can view the details provided for commission, charges and taxes. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can see the accounting details.



When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Exceptions (Approval) - User can view the exceptions (approval) details. Settlement Details – User should be able to view the settlement details.

## Action Buttons

Use action buttons based on the description in the following table:

| Field   | Description   | Sample Values |
|---------|---|---------------|
| Reject  | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold    | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>  |               |
| Refer   | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>  |               |
| Cancel  | Cancel the Guarantee Issuance approval.   |               |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.  |               |



|                                       |                       |
|---------------------------------------|-----------------------|
| <b>A</b>                              |                       |
| Action .....                          | 3                     |
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| Key Features .....                    | 1                     |
| <b>L</b>                              |                       |
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| Action Buttons .....                  | 8                     |
| Application Details .....             | 5                     |
| Guarantee Details .....               | 6                     |
| Miscellaneous .....                   | 8                     |
| Shipping Guarantee Details .....      | 6                     |
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| Shipping Details .....                | 15                    |
| Action Buttons .....                  | 16                    |
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| Action Buttons .....                  | 30                    |
| Preview Message .....                 | 29                    |
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| Action Buttons .....                  | 32                    |
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| Tax Details .....                     | 27                    |

### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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